

021904

1659 U.S. PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

0389-P02381US1

First Named Inventor

LEADLAY, Peter F.

Title

POLYKETIDES AND THEIR SYNTHESIS

Express Mail Label No.

EV374761682US

Date Mailed: February 19, 2004

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
See 37 CFR 1.27
3. ☒ Specification (Total Pages 65)
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) (Total Sheets 12)
5. ☒ Oath or Declaration (Total Pages 2)
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed Statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on ☐ CD-ROM or CD-R (2 copies); or ☐ paper
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and certification for non-publication under 35 U.S.C. 122
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)
Prior application information: Examiner Elli Peselev

of prior application No.: 09/720,841
Group Art Unit: 1623

b. Priority Applications

In addition to any applications listed in 18a, the present application also claims priority to the following application(s), each of which is hereby incorporated herein by reference.

PCT/GB99/02042 filed June 29, 1999 and GB 9814006.4 filed June 29, 1998

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

CORRESPONDENCE ADDRESS

Please address all correspondence to Customer Number 000110 to the attention of the individual identified below.

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19 February 2004
Date

FEE TRANSMITTAL

Complete if known

Application Number **not yet assigned**

Filing Date **February 19, 2004**

First Named Inventor **LEADLAY, Peter F.**

Group Art Unit

Examiner Name

TOT. AMT. OF PAYMENT: (1)+(2)+(3) = \$ **770.00**

Attorney Docket Number **0380-P02381US1**

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to:

- ☐ Charge indicated fees
☒ Charge additional fees
☒ Credit overpayments

to the account of DANN, DORFMAN, HERRELL AND SKILLMAN

Deposit Account Number 04-1406

2. Payment enclosed:

Checks in the amount of \$ 770.00
 Check Nos. _____

FEE CALCULATION

1. FILING FEE

Fee Description	Fee Paid
Utility filing fee	\$ <u>770.00</u>
Design filing fee	_____
Plant filing fee	_____
Reissue filing fee	_____
Provisional filing fee	_____
SUBTOTAL (1)	\$ <u>770.00</u>

2. CLAIMS

Extra Fee Fee Paid

Total Claims
 Presented 10 - 20 = 0 x 18.00 = 0
 (a)

Independent
 Claims
 Presented 2 - 3 = 0 x 86.00 = 0
 (b)

Multiple Dependent Claim
 (first presentation) _____ = _____

(a) Enter 20 or number previously paid for

(b) Enter 3 or number previously paid for

SUBTOTAL (2) \$ 0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Description	Fee Paid
Surcharge-late filing fee or oath	_____
Surcharge - late provisional filing fee or cover sheet	_____
Non-English specification	_____
For filing a request for reexamination	_____
Requesting publication of SIR prior to Examiner action	_____
Requesting publication of SIR after Examiner action	_____
Extension for response within first month	_____
Extension for response within second month	_____
Extension for response within third month	_____
Extension for response within fourth month	_____
Notice of Appeal	_____
Filing a brief in support of an appeal	_____
Request for oral hearing	_____
Petition to institute a public use proceeding	_____
Petition to revive unavoidably abandoned application	_____
Petition to revive unintentionally abandoned application	_____
Issue fee	_____
Petitions to the Commissioner	_____
Petitions related to provisional applications	_____
Submission of Information Disclosure Stmt.	_____
Recording each patent assignment per property (times number of properties)	_____
Filing a submission after final rejection (37 CFR 1.129(a))	_____
For each additional invention to be examined (37 CFR 1.129(b))	_____
Other fee (specify) _____	_____
Other fee (specify) _____	_____

SUBTOTAL (3) \$ _____

Submitted By:

Typed or

Printed Name Patrick J. Hagan, Esq

Signature Patrick J. Hagan

Reg. Number 27,643

Date February 19, 2004

Deposit Account User ID
04-1406